

PRE-CONTRACTUAL INFORMATION SHEET

BANKING OR FINANCIAL MARKETING

This information sheet is issued in application of article L. 341-12 of the Monetary and Financial Code (hereinafter, the "MFC") and L. 222-5 the Consumer Code (hereinafter, the "CC").

1. IDENTITY OF THE PHYSICAL PERSON PERFORMING THE MARKETING

Name:

Professional address:

Acting for the account of: the BUX Company (whose coordinates are listed below).

Marketer's registration no.¹:

2. PRESENTATION OF THE MARKETER

Name: **BUX B.V.**, an investment company, a limited liability company of Dutch law registered in the register of commerce of the Chamber of Commerce and Industry under the number 58403949 (hereinafter, "**BUX**").

Head office address: Spuistraat 114B, 1012 VA Amsterdam, Netherlands.

Contact Address: in the framework of your relations with BUX, please send your mail to the address of its headquarters.

Authority responsible for the control of BUX: the Financial Markets Authority (FMA), located at 17, place de la Bourse - 75082 Paris Cedex 02; the *Autoriteit Financiële Markten* (AFM), Vijzelgracht 50, 1017 HS Amsterdam, Netherlands.

3. PRESENTATION OF PROVIDER OF BANKING OR FINANCIAL SERVICES

Name: **ABN AMRO Clearing Bank N.V.**, an investment company, a limited liability company of Dutch law registered in the register of commerce of the Chamber of Commerce and Industry under the number 33170549 (hereinafter, "**ABN AMRO**").

Head office: Gustav Mahlerlaan 10, 1082 PP Amsterdam, Netherlands.

Contact Address: in the framework of your relations with ABN AMRO, please send your mail to the address of its headquarters.

Authorities responsible for the control of ABN AMRO: The FMA, Prudential Control and Resolution Authority (ACPR), located at 4 Place de Budapest CS 92459, 75436 Paris, and the *Financial Conduct Authority* (FCA) located at 25 The North Colonnade, London E14 5HS, United Kingdom.

4. INFORMATION ON THE PROPOSED SERVICES

ABN AMRO opens and manages cash accounts (the CTA accounts) for individuals who subscribe on-line the offers of share accounts and associated investment services offered by BUX. A CTA account is intended exclusively to receive the amounts of money (i) necessary for the purchase and (ii) resulting from the sale of financial instruments registered on a specific share account opened with BUX.

To perform purchase and sale transactions relating to financial instruments, ABN AMRO, on request of the BUX, will debit and credit the CTA account for the amounts corresponding to the value of the transaction, and will make the information relating to the CTA account available to the customer by the intermediary of a BUX.

5. SPECIFIC RISKS THAT MAY ARISE FROM THE PROPOSED SERVICES

In the case of illicit use of a CTA account or fraud relating to such an account, ABN AMRO will not compensate you for the damage suffered in the event of a fault/serious negligence or fraud of your part, and can turn against you in the event of a potential recourse of third parties which would result.

ABN AMRO reserves the right to:

- Suspend the execution of debits and credits necessary for the payment of transactions of purchase and sale of financial instruments in the situations mentioned in the contract; and

¹ *Note: To complete only in the event of marketing with travel to the homes of prospected persons or their place of work or any location not intended for the marketing of financial products, instruments and services.*

- Temporarily block the sums on the CTA account in the situations mentioned in the contract. During this period, you will not be able to freely dispose of these sums.

In the event of a bankruptcy of ABN AMRO, the repayment of the balance of the CTA account will not be guaranteed above the amount of EUR 100,000 (see below in 11 *Guarantee Fund*).

6. CONDITIONS OF THE CONTRACTUAL OFFER

Total price due: to know all fees applicable to the CTA account, please refer you to the tariff conditions that are available on your BUX customer account and/or on the Internet site of BUX.

As applicable, you assume any additional specific cost relative to the technical services of communication at a distance that you use.

Terms of Payment: by a levy on the CTA account.

Procedures for the conclusion of the contract: The contract will be concluded between you, ABN AMRO and BUX, upon your signature (the execution of the contract by ABN AMRO and BUX will manifest their consent). The contract is signed electronically in accordance with the applicable rules (see below in 9 *Language, applicable law and competent courts*).

Date and place of signature of the contract: depending on the client.

7. CONTRACT DURATION AND TERMINATION

The contract will be concluded for an indefinite period.

You have the right to put an end to the contract subject to respect a period of notice of one month. ABN AMRO may terminate the contract subject to a notice period of two months' notice.

ABN AMRO and you can immediately to put an end to the contract (without respecting notice periods and without incurring penalty) in the circumstances provided for in the contract.

Following the termination, ABN AMRO will transfer the credit balance of the CTA account to another account that you have designated for the execution of the agreement and will close the CTA account. You may be liable for fees resulting from any activity carried out on the CTA after contract termination.

8. RIGHT OF WITHDRAWAL

In accordance with Articles L. 341-16 of the MFC and L. 222-7 of the CC, you have the right to withdraw, without penalty and without having to justify your decision (i) from the date when the contract is concluded; **or** (ii) from the date when you receive the contractual terms and conditions and the information, if that day is later than the date of conclusion of the contract.

The time frame for withdrawal expires 14 days after the day of conclusion of the contract.

To exercise the right of withdrawal, you must notify your decision of withdrawal from the present contract to BUX by means of a statement devoid of ambiguity, by registered letter with acknowledgment of receipt. You can also use the form contained in the appendix of the present document. Your decision of withdrawal must be sent to the following address: BUX B.V., Spuistraat 114B, 1012 VA Amsterdam, Netherlands.

For that the time frame for withdrawal to be respected, it is sufficient that you send to BUX your communication relative to the exercise of the right of withdrawal before the expiry of the withdrawal period.

In accordance with Articles L. 341-16 of the MFC and L. 222-13 of the CC, and the contract may start to be executed before the expiration of the period of withdrawal only with your express agreement.

If you exercise your right of withdrawal while the contract has already been partially executed, you will be required to pay the fees due to ABN AMRO for an amount proportional to the service actually provided by ABN AMRO prior to the exercise of the right of withdrawal, with the exclusion of any penalty and to the extent permitted by the applicable law.

9. LANGUAGE, APPLICABLE LAW AND COMPETENT COURTS

ABN AMRO and you agree to use the French language in your pre-contractual and contractual relations.

To the extent permitted by the French rules relating to the protection of consumers, the contract and any dispute or claim arising out of the latter, its object or its drafting will be governed and interpreted in accordance with the Dutch law.

The competent French courts and the competent court in Amsterdam are competent to hear any dispute or claim arising from the

contract, its purpose or its drafting. ABN AMRO will submit any dispute or claim relating to the contract to the competent French courts.

10. EXTRA-JUDICIAL CLAIM PROCEDURES

ABN AMRO and you agree that the customer may submit any dispute and/or claim resulting from the contract to the institute of the complaints relating to financial services (*Klachteninstituut Financiële Dienstverlening*) (KiFiD), in accordance with the regulation on the mediation and opinion (binding) of the financial services litigation commission (*Reglement Geschillencommissie financiële dienstverlening (KiFiD) Bemiddeling in (bindend) advies*), which is published on the web site of the KiFiD at the following address: www.kifid.nl.

In addition, you can submit any dispute and/or claim resulting from the contract to the European platform for on-line settlement of disputes in accordance with the Regulation No. 524/2013 of 21 May 2013 relating to the on-line settlement of consumer disputes, to the following address: <https://ec.europa.eu/consumers/odr/main/?event=main.complaints.screeningphase>

11. GUARANTEE FUND

The sums deposited and/or received on your CTA account opened with ABN AMRO are protected by the Dutch legal deposit guarantee system (*Nederlandse wettelijke Depositogarantiestelsel*), which is managed by the central bank of the Netherlands (*De Nederlandsche Bank N.V. – DNB*).

In the event of a bankruptcy of ABN AMRO, the repayment of these sums is guaranteed up to a limit of EUR 100,000.

FORM RELATIVE TO THE WITHDRAWAL PERIOD**PROVIDED FOR BY THE ARTICLE L. 341-16 OF THE MONETARY AND FINANCIAL CODE**

Form to be returned no later than fourteen (14) calendar days after the date of conclusion of the contract, by registered letter with acknowledgment of receipt to: BUX B.V., Spuistraat 114b, 1012 VA Amsterdam, Netherlands.

Designation of the contract: CTA client contract (hereinafter, the “CTA Contract”).

In accordance with article L. 341-16 of the Monetary and Financial Code, the right of withdrawal may be exercised in a period of fourteen (14) calendar days (I) from the date of conclusion of the contract or (ii) from the date when the customer receives the contractual terms and conditions and the information, if that day is later than the date of the conclusion of the contract.

This withdrawal is valid only if it is made before the expiration of the period of 14 days indicated in article L. 341-16 of the Monetary and Financial Code (such as recalled above), legibly and duly completed.

I, the undersigned _____, declare to exercise my right of withdrawal and waive the CTA contract concluded on _____ with the ABN AMRO Clearing Bank N.V. Company, having its registered office at Gustav Mahlerlaan 10, 1082 PP Amsterdam, The Netherlands, and the BUX B.V. Company, having its registered office at Spuistraat 114b, 1012 VA Amsterdam, The Netherlands.

Prepared in _____

On _____

Your signature _____